

## PD-L1, a Type-I Interferon-regulated Immune Checkpoint Protein in Sepsis

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**Abbreviations:** PD-L1: Programmed death-ligand 1; PD-1: Programmer death-1; EAE: Experimental autoimmune encephalomyelitis; *E. coli*: *Escherichia coli*; IFN: Interferon; IFNAR1: Interferon alpha and beta receptor 1; Mkp-1: Mitogen-activated protein kinase phosphatase 1; LPS: Lipopolysaccharide; JAK: Janus kinase; TYK2: Tyrosine kinase 2, WT: Wildtype

### Description

Programmed death-ligand 1 (PD-L1) is one of the best understood immune checkpoint proteins [1,2]. PD-L1 expressed on antigen-presenting cells and tumor cells can inhibit the clonal expansion of CD8<sup>+</sup> and CD4<sup>+</sup> T lymphocytes through engaging with another inhibitory immune checkpoint molecule programmer death (PD)-1 expressed on T lymphocytes. In response to exogenous or endogenous danger signals, the adaptive immune system reacts to foreign antigens with rapid clonal expansion of antigen-specific CD8<sup>+</sup> cytotoxic or CD4<sup>+</sup> helper T lymphocytes. In T lymphocytes, engagement of PD-1 with PD-L1 leads to recruitment of tyrosine phosphatases SHP-2 *via* immunoreceptor tyrosine switch motif of PD-1, resulting in inhibition of the proliferation of antigen-specific T cells in lymph nodes or tumor environment and reduction of apoptosis of regulatory T cells [3]. It has been speculated that PD-L1 plays an important role in suppressing the adaptive immune system during pregnancy [4], tissue allografts [5,6], and autoimmune disease [7-9]. PD-1/PD-L1 interactions are important to prevent excessive immune-mediated tissue damage and autoimmunity [10]. It has been shown that PD-1-deficient mice are susceptible to develop lupus-like autoimmune disease [11,12], diabetes [13], or catastrophic autoimmune cardiomyopathy [14]. PD-L1 deficiency converted the 129S4/SvJae strain of mice from a resistant to experimental autoimmune encephalomyelitis (EAE)-susceptible strain [15]. A number of cancer cells express elevated PD-L1, making them resistant to killing by CD8<sup>+</sup> T cells that recognize cancer-specific neoantigens. Administration of monoclonal antibodies against PD-L1 or PD-1 can unleash the T lymphocytes specific to the cancer-specific neoantigens, resulting in the killing of cancer cells [16]. Immune checkpoint blockade therapy targeting PD-1 and PD-L1 has revolutionized cancer treatment in the past decade [17]. Currently, a number of PD-1

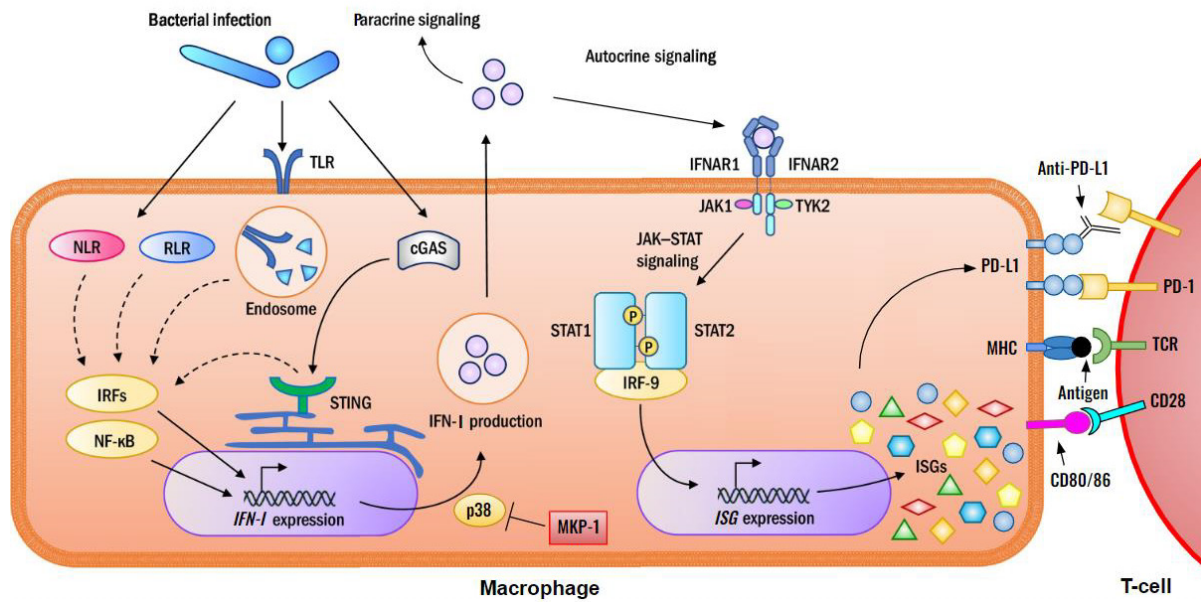
and PD-L1 monoclonal antibodies have been approved by the United States Food and Drug Administration (FDA) for dozens of malignancies [1,18,19]. These PD-1/PD-L1 inhibitors significantly improved the overall survival of cancer patients and have emerged as the standard therapy for multiple malignancies [17,20].

Sepsis is associated with a cytokine storm, frequently followed by shock and multi-organ system failure [21]. As sepsis progresses, patients often develop immunoparalysis associated with massive splenic lymphocyte apoptosis [22]. The majority of shock-related deaths occur during this hypo-immune state, likely due to a failure in clearing primary or secondary (nosocomial) infections [23,24]. In recent years, numerous studies provided ample evidence to support a role of PD1/PD-L1 in sepsis. In a prospective cohort study Shao R, et al. (2016) found that increased monocyte PD-L1 expression following sepsis is associated with risk stratification and mortality in septic patients [25,26]. Guignant C, et al. (2011) found that increased PD 1 levels are associated with increased mortality, nosocomial infection, and immune dysfunction in septic shock patients [27]. Wang JF, et al. (2015) proposed that increased PD-L1 expression on neutrophils could be involved in immunoparalysis [28] that is often seen in sepsis patients [22,24]. Zhang Y, et al. (2011) showed that PD-L1 expression was elevated in blood monocytes of sepsis patients [26]. Blockade of PD-L1 on blood monocytes from sepsis patients enhanced the production of TNF- $\alpha$  and IL-6 after LPS stimulation [26]. Patera AC, et al. (2016) demonstrated that incubation of neutrophils and monocytes of sepsis patient with a monoclonal PD-L1 antibody *in vitro* enhanced the phagocytosis activity against *E. coli* [29]. In murine models of sepsis, administration of ant-PD-L1 antibodies or peptide has been shown to diminish lymphocyte apoptosis, reduce bacterial/fungal burden, and decrease mortality [30-32]. Chang KC, et al. (2013) studied the effects of immune

checkpoint blockade on survival and immunosuppression using PD-L1 and PD-1 antibodies in animal models of primary Candidemia or secondary fungemia following sublethal cecal ligation and puncture [33]. They demonstrated that anti-PD-L1 and PD-1 antibodies, when administered 24 to 48 h after fungal infection, were highly effective at improving animal survival in both primary and secondary fungal sepsis. Both anti-PD-1 and anti-PD-L1 antibodies reversed sepsis-induced suppression of IFN- $\alpha$  and increased MHC II expression on antigen presenting cells. Burn patients are particularly susceptible to infections due, in part, to immune dysfunction. Patil NK, et al. (2018) studied the effect of PD-L1 blockade on immune dysfunction after burn injury, using a mouse model of burn injury and bacterial sepsis [34]. They found that burn injury and subsequent infection with *Pseudomonas aeruginosa* caused a significant upregulation of PD-L1 on myeloid cells, along with a decrease in T cell numbers and function, significant multiorgan injury, and decreased survival. After burn injury, treatment with an anti-PD-L1 antibody improved bacterial clearance, reduced organ injury, and enhanced survival after infection by both *P. aeruginosa* and *Staphylococcus aureus*. Taken together, these studies suggest that blocking PD-1/PD-L1 axis could prevent the sepsis-associated immunoparalysis and improve patient outcomes.

The paper titled “Enhanced PD-L1 expression contributes to the bactericidal defect of *Mkp-1*-deficient mice during *Escherichia coli* infection” explores the impact of mitogen-activated protein kinase phosphatase 1 (*Mkp-1*) deficiency on immune defense during systemic *Escherichia coli* infection. In addition to investigating the role of *Mkp-1*, the study sheds light on the potential interplay between PD-L1, inflammation, type I interferon, and bacterial pathogen *E. coli*. They found that PD-L1 was induced prominently in macrophages in the spleen and livers after *E. coli* infection, and the induction in these cells was markedly stronger in *Mkp-1* knockout mice than in wildtype (WT) mice. In an earlier study, the same group found that *Mkp-1* knockout mice exhibited an enhanced cytokine production after systemic *E. coli* infection, associated with elevated mortality, severe metabolic abnormality, and defective bactericidal activity [35]. Despite the enhanced inflammatory response, bacterial burdens were significantly higher in the *Mkp-1* knockout mice than in the WT mice [35]. In another earlier study, this group found that large group of cytokines, including TNF- $\alpha$ , IL-1 $\alpha/\beta$ , IL-6, IL-10, IL-17A, IL-27, GM-CSF, and type I interferon IFN- $\beta$  were more robustly produced in *Mkp-1* knockout mice than in WT mice [36]. To understand the role of *Mkp-1* in the regulation of global gene expression, they performed RNA-seq analysis on liver tissues collected from WT and *Mkp-1* knockout mice both before and after *E. coli* infection [37]. They found that the expression of 5,369 and 7,251 genes were altered in WT and *Mkp-1* knockout mice, respectively, after systemic *E. coli* infection, and 5421 genes were differentially expressed between WT and *Mkp-1* knockout mice after *E. coli* infection. Supporting the notion that elevated

type I interferon has a considerable impact on host defense, they found that 60 of the 71 known interferon-inducible genes were upregulated after systemic *E. coli* infection in WT mice, and 40 of the 71 known interferon-inducible genes were more robustly induced after *E. coli* infection in *Mkp-1* knockout mice than in WT mice [36]. Blockade of the receptor of type I interferon, IFNAR1, with a monoclonal antibody almost totally suppressed the induction of *Rsad2*, a classical type I interferon-induced gene, but augmented mortality and disease severity, suggesting that type I interferon is beneficial in *E. coli*-infected *Mkp-1* knockout mice. In the studies published in the Journal Biological Chemistry, Barley TJ, et al. (2022) found that IFNAR1 blockade with a monoclonal antibody almost totally abolished PD-L1 expression in the livers of *E. coli*-infected *Mkp-1* knockout mice, indicating a central role of type I interferon in PD-L1 expression [38]. To address the role of PD-L1 in *Mkp-1* knockout mice during systemic *E. coli* infection, the group blocked PD-L1 with a monoclonal antibody and assessed the effect of PD-L1 blockade on animal survival and bacterial burdens [38]. Interestingly, although PD-L1 blockade in *E. coli*-infected *Mkp-1* knockout mice decreased bacterial burdens, the mortality of the mice administered with the PD-L1-blocking monoclonal antibody was actually increased relative to mice that received the isotype control antibody. These results suggest that PD-L1 expression in *Mkp-1* knockout mice is actually beneficial to the mice. Given the fact that blockade of type I interferon signaling also increased the mortality of the *E. coli*-infected *Mkp-1* knockout mice [36], these results strongly suggest that the beneficial effect of type I interferons is mediated primarily through PD-L1. To understand the mechanism for the increased mortality in *Mkp-1* knockout mice, Barley TJ, et al. (2022) examined the effect of PD-L1 blockade on inflammatory indices. They found that PD-L1 blockade significantly enhanced the TNF- $\alpha$  and IFN- $\beta$  levels in the serum as well as iNOS levels in the lung and liver tissues, suggesting that PD-L1 can inhibit the propagation of the inflammatory response. To understand the regulation of PD-L1, the authors examined PD-L1 induction in bone marrow-derived macrophages stimulated with *E. coli* or lipopolysaccharide (LPS), a major component of the cell wall of Gram-negative bacteria. They showed that PD-L1 was potently induced in macrophages by both *E. coli* and LPS in vitro, and *Mkp-1* deficiency exacerbated PD-L1 induction with little effect on the half-life of PD-L1 mRNA. In contrast, inhibitors of Janus kinase (JAK) 1/2 and Tyrosine kinase (TYK) 2, as well as the IFNAR1-neutralizing monoclonal antibody, markedly attenuated PD-L1 induction in macrophages. These studies established that *Mkp-1* controls the production of type I interferons, which drive PD-L1 expression *via* the JAK/STAT pathway in an autocrine manner (Fig. 1). Through this mechanism, during sepsis *Mkp-1* indirectly regulates PD-L1 expression, and executes its feedback control over the downstream inflammatory process, likely through T-cell-mediated mechanisms.



**Figure 1:** Immunosuppression by PD-L1 induced through type I interferon during bacterial sepsis. During bacterial infection, microbial components interact with pathogen pattern recognition receptors (TLR, NLR, RLR, and cGAS), in macrophages leading to the activation of transcription factors IRF and NF- $\kappa$ B as well as the p38 MAPK pathways. Both IRF and NF- $\kappa$ B positively regulate the expression of type I interferon (IFN-I) gene. The p38 MAPK pathway stabilizes the mRNAs of IFN-I and enhances IFN-I protein translation. IFN-I then regulates host defense through both autocrine and paracrine (not shown in the diagram) mechanisms. In the autocrine mechanism, IFN-I binds to the IFN-I receptor on the cell surface and activates the JAK/STAT pathway to induce the IFN-stimulated genes (ISGs), including PD-L1. PD-L1 dimerizes with its receptor PD-1 on T cells, contributing to immunosuppression. An PD-L1 antibody binds to PD-L1 and breaks the interaction with PD-1, thus alleviating PD-L1-mediated immunosuppression of T lymphocytes. As a feedback control regulator of MAPK pathways, MKP-1 switches off p38 and limits the production of IFN-I and PD-L1, thereby maintaining immunocompetence.

The decreased survival in *Mkp-1* knockout mice after PD-L1 blockade contradicts the improved survival after PD-L1 blockade in previous animal models of bacteremia or fungemia. The discrepancy could potentially be attributed to the varying degrees of inflammation in different infection models. In the present study, *E. coli* were introduced into the blood circulation of *Mkp-1* knockout mice. It is well known that *Mkp-1* deficiency dramatically enhances the production of a variety of inflammatory and anti-inflammatory cytokines. In fact, *Mkp-1* knockout mice produced 7 and 9 times more TNF- $\alpha$  and IL-6, respectively, than the WT mice 24 h after *E. coli* infection [35]. PD-L1 blockade further enhanced the production of at least TNF- $\alpha$ , IFN- $\gamma$ , and iNOS, further exacerbating the hyper-inflammatory response of *Mkp-1* knockout mice. It is likely that the overly exaggerated inflammatory response leads to further enhanced iNOS induction, devastating vasodilation, and a dramatic decrease in blood pressure, which result in further increased mortality. In this sense, the beneficial effect of PD-L1 blockade on bacteria-killing had no effect on overall survival of the animals. In hindsight, this is not surprising, since previously it has been shown that complete killing of bacteria by gentamicin three hours after *E. coli* infection did not prevent the death caused by *E. coli* infection, although mortality in WT mice was completely prevented. In the studies where PD-L1 blockade resulted in a reduction in mortality and organ damage [30-32,34], wildtype mice were used. Therefore, it is reasonable to speculate that the inflammatory responses in these situations were less robust than in *Mkp-1* knockout mice. We speculate that under such circumstances, inhibiting PD-L1 might be beneficial as it enhances immune-mediated clearance of

the pathogen and prevents immune exhaustion. With improved pathogen clearance, pathogen-cause inflammatory response also subdued. In these contexts, blocking PD-L1 can enhance the pathogen-killing activity of the phagocytotic cells through restoring the activity of pathogen-specific T cells and other effector immune cells, leading to improved survival outcomes. It is tempting to speculate that PD-L1 blockade is likely more beneficial to sepsis cases with depressed inflammatory response, such as in patients with immunoparalysis or with a less inflammatory pathogens, such as *Candida auris*. A phase 1b randomized study evaluating the safety, tolerability, pharmacokinetics, and pharmacodynamics of the PD-1 inhibitor nivolumab and the PD-L1 inhibitor BMS-936559 in sepsis patients has been completed [39,40].

## Conclusion

The studies indicate that PD-L1 blockade was safe for the treatment of sepsis, although a larger clinical trial is needed to assess the efficacy of immune checkpoint inhibitor therapy for the treatment of sepsis. With clinical trials in progress, there is promise that regulation of PD-L1 will provide optimism for those with or at risk of developing sepsis. Recent advances in the understanding of the PD-L1/PD-1 axis have also unlocked the potential for a therapeutic target for sepsis-associated immunoparalysis. In addition, the recent finding that IFNAR1 acts as a positive regulator of PD-L1 in sepsis raises an interesting question of whether the FDA-approval type I interferon receptor antagonist for systemic lupus erythematosus, SAPHNELO, may also show efficacy in treating bacterial or fungal sepsis.



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